

Substitute for Form
PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

033495-017

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/514,430

INTERNATIONAL APPLICATION NO.

PCT/EP03/004715

INTERNATIONAL FILING DATE

May 6, 2003

PRIORITY DATE CLAIMED

May 16, 2002

TITLE OF INVENTION

PESTICIDAL PYRIDINECARBOXAMIDE DERIVATIVES

APPLICANT(S) FOR DO/EO/US

Koichi ARAKI, Tetsuya MURATA, Koshi GUNJIMA, Norihiko NAKAKURA, Eiichi SHIMOJO, Dale Robert MITCHELL, Henricus Maria Martinus BASTIAANS, David Stephen CARVER, Daniel ALLEN, Christian ARNOLD, Waltraud HEMPEL, Olga MALSAM, Jutta Maria WAIBEL

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission to items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (22) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 21 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Supplemental Application Data Sheet; copy of Notification of Missing Requirements Under 37 C.F.R. 371. Surcharge of \$130.00 for late filing of inventors' declaration was paid on November 16, 2004.

06/15/2005 LLANDRA 00000031 10514430

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U.S. APPLICATION NO. (If known, see 37 CFR 1.51) 10/514,430		INTERNATIONAL APPLICATION NO. PCT/EP03/004715		ATTORNEY'S DOCKET NUMBER 033495-017	
21. <input type="checkbox"/> Applicant(s) requests that the published application include the following assignment information: _____ _____ _____ _____ _____				CALCULATIONS PTO USE ONLY 	
22. <input type="checkbox"/> The following fees are submitted:					
Basic Filing Fee (1631)				\$ 0.00	
Surcharge of \$130.00 (1617) for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total Claims	-20 =	0	x \$50.00 (1615)	\$ 0.00	
Independent Claims	- 3 =	0	x \$200.00 (1614)	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360.00 (1616)	
Examination Fee				+ \$200.00 (1633)	\$ 0.00
Search Fee				+ \$500.00 (1632)	\$ 0.00
App. Size Fee (add \$250.00 for each add'l 50 sheets exceeding 100 sheets)					
TOTAL OF ABOVE CALCULATIONS =				\$ 0.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+	\$ 0.00
SUBTOTAL =				\$ 0.00	
Processing fee of \$130.00 (1618) for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 0.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (8021) per property +					
TOTAL FEES ENCLOSED =				\$ 0.00	
				Amount to be refunded :	
				charged :	
a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. <u>02-4800</u> in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4800</u> . A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Charge _____ to credit card. Form PTO-2038 is attached.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> SEND ALL CORRESPONDENCE TO: Burns, Doane, Swecker & Mathis, L.L.P. P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620 </div> <div style="width: 50%; text-align: right;"> <div style="margin-bottom: 10px;"> SIGNATURE </div> <div style="margin-bottom: 10px;"> Mary Katherine Baumeister NAME </div> <div style="display: flex; justify-content: space-around;"> <div style="text-align: center;"> 26,254 REGISTRATION NO. </div> <div style="text-align: center;"> June 10, 2005 DATE </div> </div> </div> </div>					



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/514,430	Koichi Araki	033495-017

INTERNATIONAL APPLICATION NO.	
PCT/EP03/04715	
IA. FILING DATE	PRIORITY DATE
05/06/2003	05/16/2002

Burns Doane Swecker & Mathis
 P O Box 1404
 Alexandria, VA 22313-1404

CONFIRMATION NO. 4362
 371 FORMALITIES LETTER
 OC000000015706652
 OC000000015706652

Date Mailed: 04/12/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 11/16/2004
- Copy of the International Search Report filed on 11/16/2004
- Preliminary Amendments filed on 11/16/2004
- Information Disclosure Statements filed on 11/16/2004
- Request for Immediate Examination filed on 11/16/2004
- U.S. Basic National Fees filed on 11/16/2004
- Priority Documents filed on 11/16/2004
- Power of Attorney filed on 11/16/2004

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The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

Missing Parts Due 6-12-05 jsw

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/514,430	PCT/EP03/04715	033495-017

FORM PCT/DO/EO/905 (371 Formalities Notice)